

Robert Greene Sterne Jorge A. Goldstein David K.S. Cornwe Robert W. Esmond Tracy-Gene G. Durkin Michele A. Cimbala Michael B. Ray n R. Ludwig Lawrence B. Bugaisky Donald J. Featherstone Jureth U. Kim Timothy J. Shea, Jr. Patrick E. Garrett Heidi L. Kraus Edward W. Yee Albert L. Ferro\* Donald R. Banowi Teresa U. Medler Jeffrey S. Weaver Kendrick P. Patterson Vincent L. Capuano Eldora Ellison Floyd Thomas C. Fiala Brian J. Del Buono Virgil Lee Beaston

Elizabeth J. Haanes Joseph S. Ostroff Frank R. Cottingham Christine M. Lhulier Rae Lynn Prengaman Jane Shershenovich Lawrence J. Carroll\* George S. Bardmess Daniel A. Klein\* Jason D. Eisenberg Michael D. Specht Andrea J. Kamage Tracy L. Muller\* LuAnne M. DeSantis John J. Figueroa Ann E. Summerfield Tiera S. Coston\* Aric W. Ledford

Michael D. Specht Timothy A. Doyle\* Cynthia M. Bouchez Nicole D. Dretar Ted J. Ebersole

Registered Patent Agents
Karen R. Markowicz Nancy J. Leith Helene C. Carlson Gaby L. Longsworth Matthew J. Dowd Aaron L. Schwartz Robert A. Schwartzmar

leffrey S. Lundgren Victoria S. Rutherford Eric D. Hayes Michelle K. Holoubek Robert H. DeSelms Simon J. Elliott Julie A. Heider Mita Mukerjee Scott M. Woodhouse

Of Counsel Kenneth C. Bass III Evan R. Smith Marvin C. Guthrie

\*Admitted only in Maryland \*Admitted only in Virginia •Practice Limited to Federal Agencies

(202) 772-8835 INTERNET ADDRESS: TFIALA@SKGF.COM

WRITER'S DIRECT NUMBER:

April 15, 2004

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application is

Application No. 09/430,821; Filed: October 29, 1999

For: **Cable Modem System** Inventors:

QUIGLEY et al.

Our Ref:

1875.132000A

Art Unit 2743

APR 1 6 2004

Technology Center 2600

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Fourth Supplemental Information Disclosure Statement;
- 2. Form PTO-1449 listing the Declaration of Lisa V. Denney, executed March 22, 2004, (8 pages) with Exhibits 1-5;
- 3. Copy of the Declaration of Lisa V. Denney, executed March 22, 2004, (8 pages) with Exhibits 1-5; and
- 4. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents April 15, 2004 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STEPNE, Kessler, Goldstein & Fox P.L.L.C.

Thomas C. Fiala

Attorney for Applicants Registration No. 43,610

TCF/mjg Enclosures

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Iste application of:

JJIGLEY et al.

Appl. No.: 09/430,821

Filed: October 29, 1999

For: Cable Modem System

Confirmation No.: 7777

Art Unit: 2743

Examiner: To Be Assigned

Atty. Docket: 1875.132000A

## Fourth Supplemental Information Disclosure Statement CEIVED

APR 1 6 2004

Technology Center 2600

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Fourth Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Third Supplemental Information Disclosure Statement filed on January 31, 2001 in connection with the above-captioned application.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- □ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- Z. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the

first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application. a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1). b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2). c. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$\_\_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p). 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our PTO-2038 Credit Card Payment Form in the amount of \$\_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:

a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1). b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2). 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38. 6. A concise explanation of the relevance of the non-English language document(s) appears below: 7. Copies of the documents are submitted herewith. 8. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No.\_\_\_\_\_,

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	, which is relied upon for an earlier filing date under 35 U.S.C. § 120.										
	Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).										
<b>9</b> .	No copies of U.S. patents and patent application publications cited on the attached										
Form PTO-1449 are submitted in accordance with 1276 OG 55 bec											
	application was filed after June 30, 2003.										
☐ 10. It is expected that the examiner will review the prosecution and cited art in the paren											
	application no(s) in accordance with MPEP 2001.06(b), and										
	indicate in the next communication from the office that the art cited in the earlier										
	prosecution history has been reviewed in connection with the present application.										
	It is respectfully requested that the Examiner initial and return a copy of the enclosed										
PTO-1	449, and indicate in the official file wrapper of this patent application that the										
docum	ents have been considered.										
	The U.S. Patent and Trademark Office is hereby authorized to charge any fee										
deficie	ncy, or credit any overpayment, to our Deposit Account No. 19-0036.										
	Respectfully submitted,  STERNE, KESSLER, GOLOSTEIN & FOX P.L.L.C.										

Thomas C. Fiala

Attorney for Applicants Registration No. 43,610

Date: April 15, 2004

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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<b>EXAMINER</b> : Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.											